

SUGAR VALLEY RURAL CHARTER SCHOOL

POLICY NUMBER: 801
SECTION: OPERATIONS
TITLE: **PUBLIC RECORDS**
DATE ADOPTED: DECEMBER 2008
DATE LAST REVISED: SEPTEMBER 2017

PUBLIC RECORDS

PURPOSE

The Board recognizes the importance of public records as the record of the school's actions and the repository of information about Sugar Valley Rural Charter School. The public has the right under law to inspect and to procure copies of public records, with certain exceptions, subject to law and Board policy and administrative regulations. The Board also recognizes its obligation to maintain the confidentiality of student records and personnel records.

DEFINITION

Financial record - any account, voucher or contract dealing with the receipt or disbursement of funds or acquisition, use or disposal of services, supplies, materials, equipment or property; or the salary or other payments or expenses paid to an officer or employee, including the individual's name and title; and a financial audit report, excluding the audit's underlying work papers.

Public record - a record, including a financial record, that is not protected by a defined privilege or is not exempt from being disclosed under one of the exemptions in Pennsylvania's Right-to-Know Law or under other federal or state law or regulation, or judicial decree or order.

The **public records** of this district shall mean any account, voucher or contract dealing with the receipt or disbursement of funds; acquisition, use or disposal of services, supplies, materials, equipment or other property; or any minutes, orders or decisions fixing the personal or property rights, privileges, immunities, duties or obligations of any person or group.

Public records shall **not** include the following:

1. Any report, communication or other paper whose publication would disclose the institution, progress or result of an investigation undertaken in the performance of official duties.
2. Any record, document, material, exhibit, pleading, report, memorandum, or other paper to which access or publication is prohibited, restricted or forbidden by law, regulation, court order or decree of court; would operate to the prejudice or impairment of a

person's reputation or personal security; or would result in the loss of federal funds, except the record of a conviction for any criminal act.

3. Education records concerning individual students, in accordance with federal and state laws.
4. Personnel files, in compliance with applicable laws.

Record - information, regardless of physical form or characteristics, that documents a district transaction or activity and is created, received or retained pursuant to law or in connection with a district transaction, business or activity, including: a document; paper; letter; map; book; tape; photograph; film or sound recording; information stored or maintained electronically; and a data processed or image-processed document.

Response - the district's notice informing a requester of a granting of access to a record or the district's written notice to a requester granting, denying, or partially granting and partially denying access to a requested record.

Requester - a legal resident of the United States, or an agency, who requests access to a record.

AUTHORITY

The Board shall make the school's public records available for inspection and duplication to any state resident, in accordance in accordance with law, Board policy and administrative regulations.

The Board exempts from public inspection any material whose disclosure would constitute an invasion of privacy, unless the individual concerned, or the parent/ guardian of a minor student, consents in writing to public disclosure of the materials.

DELEGATION OF RESPONSIBILITY

The Board shall designate an Open Records Officer, who shall be responsible to: Receive written requests for access to records submitted to the district:

1. Review and respond to written requests in accordance with law, Board policy and administrative regulations.
2. Direct requests to other appropriate individuals in the district or in another agency.
3. Track the district's progress in responding to requests.
4. Issue interim and final responses to submitted requests.
5. Maintain a log of all record requests and their disposition.

6. Ensure district staff is trained to perform assigned job functions relative to requests for access to records.

Upon receiving a request for access to a record, the Open Records Officer shall:

1. Note the date of receipt on the written request.
2. Compute and note on the written request the day on which the five-day period for response will expire.
3. Maintain an electronic or paper copy of the written request, including all documents submitted with the request, until the request has been fulfilled.
4. If the written request is denied, maintain the written request for thirty (30) days or, if an appeal is filed, until a final determination is issued or the appeal is deemed denied.

The CEO or designee shall ensure that the Board policy governing access to public records and the list of applicable fees are posted at the school office.

The CEO or designee shall develop procedures to implement this policy, which include:

1. Preparation of a retention schedule that: conforms to law; requires permanent safeguarding of Board minutes, annual audit reports and permanent student records; and mandates retention of all fiscal records required for audit until the audit has been received and approved.
2. A list of reasonable fees applicable to all requests for inspection and duplication of public records, in accordance with law.
3. Provisions to guard the confidentiality of records exempted from the availability of public records.
4. Training of appropriate staff regarding public access to public records

GUIDELINES

The public may inspect and procure copies of the public records of the district during the regular business hours of the school office.

A public record shall be provided to the requester in the medium requested if it exists in that form; otherwise, it shall be provided in its existing medium.

SVRCS is not required to create a public record that does not exist nor to compile, maintain, format or organize a public record in a manner different from that currently maintained by the school. If a public record is maintained only in an electronic format, the school shall duplicate the record on paper, upon request.

Information shall be made available to individuals with disabilities in an appropriate format, upon request and with sufficient advance notice.

A requestor's right of access does not include the right to remove a record from the control or supervision of the Open Records Officer.

Request For Access

A written request for access to a public record shall be submitted to the office of the CEO.

Each request must include the following information:

1. Identification or description of the requested record, in sufficient detail.
2. Medium in which the record is requested.
3. Name and address of the person to receive the district's response.

SVRCS shall not require an explanation of the reason for the request or the intended use of the requested record, unless otherwise required by law.

Fees

The Board shall approve and the SVRCS shall keep current a list of reasonable fees of \$.25 per page copy relative to requests for public records.

No fee may be imposed for review of a record to determine whether the record is subject to access under law.

Prior to granting access, SVRCS may require prepayment of estimated fees when the fees required to fulfill the request are expected to exceed \$100.

The CEO may waive duplication fees when the requester duplicates the record or the CEO deems it is in the public interest to do so.

Response To Request

District employees shall be directed to forward requests for access to public records to the Open Records Officer.

Upon receipt of a written request for access to a record, the Open Records Officer shall determine if the requested record is a public record and if the district has possession, custody or control of that record.

The Open Records Officer shall respond as promptly as possible under the existing circumstances, review the request and respond promptly, within five (5) business days from the date that the written request was received.

The initial response shall grant access to the requested record, deny access to the requested record, partially grant and partially deny access to the requested record, or notify the requester of the need for an extension of time to fully respond.

If SVRCS fails to respond to a request within five (5) business days, the request for access shall be deemed denied.

If SVRCS determines that the request will be granted, a response shall be sent within five (5) business days. The response shall include the regular business hours of the school office, the medium in which the record is provided, and the assessed fees.

If SVRCS determines that more than five (5) business days are required to respond to the request, in accordance with the exceptions stated in law, notice shall be sent indicating that the request is being reviewed, the reason for the review, and a date when the response will be provided.

Extension Of Time

If the Open Records Officer determines that an extension of time is required to respond to a request, in accordance with the factors stated in law, written notice shall be sent within five (5) business days of receipt of request. The notice shall indicate that the request for access is being reviewed, the reason that the review requires an extension, a reasonable date when the response is expected, and an estimate of applicable fees owed when the record becomes available.

Up to a thirty (30) day extension for one (1) of the listed reasons does not require the consent of the requester. If the response is not given by the specified date, it shall be deemed denied on the day following that date.

A requester may consent in writing to an extension that exceeds thirty (30) days, in which case the request shall be deemed denied on the day following the date specified in the notice if the Open Records Officer has not provided a response by that date.

Granting Of Request

If the Open Records Officer determines that the request will be granted, the response shall inform the requester that access is granted and either include information on the regular business hours of the administration office, provide electronic access, or state where the requester may go to inspect the records or information electronically at a publically accessible site. The response shall include a copy of the fee schedule in effect, a statement that prepayment of fees is required in a specified amount if access to the records will cost in excess of one hundred dollars (\$100.00), and the medium in which the records will be provided.

A public record shall be provided to the requester in the medium requested if it exists in that form; otherwise, it shall be provided in its existing medium. However, the district is not required to permit use of its computers.

The Open Records Officer may respond to a records request by notifying the requester that the record is available through publicly accessible electronic means or that the district shall provide

access to inspect the record electronically. If the requester, within thirty (30) days following receipt of the district's notice, submits a written request to have the record converted to paper, SVRCS shall provide access in printed form within five (5) days of receipt of the request for conversion to paper.

A public record that school does not possess but is possessed by a third party with whom school has contracted to perform a governmental function and which directly relates to that governmental function shall be considered a public record of the school. When school contracts with such a third party, the school shall require the contractor to agree in writing to comply with requests for such records and to provide the school with the requested record in a timely manner to allow the school to comply with law.

If the Open Records Officer determines that a public record contains information both subject to and not subject to access, the Open Records Officer shall grant access to the information subject to access and deny access to the information not subject to access. The Open Records Officer shall redact from the record the information that is not subject to access. The Open Records Officer shall not deny access to a record if information is able to be redacted.

If the Open Records Officer responds to a requester that a copy of the requested record is available for delivery at the administration office and the requester does not retrieve the record within sixty (60) days of the school's response, the school shall dispose of the copy and retain any fees paid to date.

Notification To Third Parties

When SVRCS produces a record that is not a public record in response to a request, the Open Records Officer shall notify any third party that provided the record to the school, the person that is the subject of the record, and the requester.

The Open Records Officer shall notify a third party of a record request if the requested record contains a trade secret or confidential proprietary information, in accordance with law and administrative regulations.

Denial Of Request

If SVRCS denies a request for access to a public record, whether in whole or in part, a written response shall be sent within five (5) business days of receiving the request. The response denying the request shall include the following:

1. Description of the record requested.
2. Specific reasons for denial, including a citation of supporting authority.
3. Name, title, business address and business telephone number, and signature of whose authority the denial was issued.
4. Date of the response.

5. Procedure for the requestor to appeal a denial of access.

The Open Records Officer may deny a request for access to a record if the requester has made repeated requests for that same record and the repeated requests have placed an unreasonable burden on the school.

The Open Records Officer may deny a request for access to a record when timely access is not possible due to a disaster, or when access may cause physical damage or irreparable harm to the record. To the extent possible, a record's contents shall be made accessible even when the record is physically unavailable.

Information that is not subject to access and is redacted from a public record shall be deemed a denial.

If a written request for access to a record is denied or deemed denied, the requester may file an appeal with the state's Office of Open Records within fifteen (15) business days of the mailing date of the Open Records Officer's response or deemed denial.

Appeal Of Denial

If a request for access to a public record is denied or deemed denied, the requester may file a written exception within fifteen (15) business days of the mailing date of the response or a deemed denial.

Upon receipt of the exception, the CEO or designee shall make a final determination of the request within thirty (30) days of the mailing date. If denied, a written explanation shall be provided.

The final determination shall be the final order of the school.

The requester may appeal the district's final order, in accordance with the provisions of law.

REFERENCES:

References: School Code – 24 P.S. Sec. 408, 518

Right-to-Know Law – 65 P.S. Sec. 67.101 et seq.

Americans With Disabilities Act – 42 U.S.C. Sec. 12101 et seq.

Accessibility to Communications, Title 28, Code of Federal Regulations – 28 CFR Sec. 35.160, 35.164

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